

Notification document for transboundary movements/shipments of waste

1. Exporter - notifier Registration No: Name: Address: Contact person: Tel: Fax: E-mail:	3. Notification No: Notification concerning A.(i) Individual shipment: <input type="checkbox"/> (ii) Multiple shipments: <input type="checkbox"/> B.(i) Disposal (7): <input type="checkbox"/> (ii) Recovery: <input type="checkbox"/> C. Pre-consented recovery facility (2;3) Yes <input type="checkbox"/> No <input type="checkbox"/>												
2. Importer - consignee Registration No: Name: Address: Contact person: Tel: Fax: E-mail:	4. Total intended number of shipments: 5. Total intended quantity (4): Tonnes (Mg): m ³ :												
8. Intended carrier(s) Registration No: Name(7): Address: Contact person: Tel: Fax: E-mail: Means of transport (5):	6. Intended period of time for shipment(s) (4): First departure: Last departure: 7. Packaging type(s) (5): Special handling requirements (6): Yes: <input type="checkbox"/> No: <input type="checkbox"/> 11. Disposal / recovery operation(s) (2) D-code / R-code (5): Technology employed (6): Reason for export (1;6):												
9. Waste generator(s) - producer(s) (1;7;8) Registration No: Name: Address: Contact person: Tel: Fax: E-mail: Site and process of generation (6)	12. Designation and composition of the waste (6): 13. Physical characteristics (5): 14. Waste identification (fill in relevant codes) (i) Basel Annex VIII (or IX if applicable): (ii) OECD code (if different from (i)): (iii) EC list of wastes: (iv) National code in country of export: (v) National code in country of import: (vi) Other (specify): (vii) Y-code: (viii) H-code (5): (ix) UN class (5): (x) UN Number: (xi) UN Shipping name: (xii) Customs code(s) (HS):												
10. Disposal facility (2): <input type="checkbox"/> or recovery facility (2): <input type="checkbox"/> Registration No: Name: Address: Contact person: Tel: Fax: E-mail: Actual site of disposal/recovery:	15. (a) Countries/States concerned, (b) Code no. of competent authorities where applicable, (c) Specific points of exit or entry (border crossing or port) <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">State of export - dispatch</th> <th style="width: 50%;">State(s) of transit (entry and exit)</th> <th style="width: 25%;">State of import - destination</th> </tr> </thead> <tbody> <tr> <td>(a)</td> <td></td> <td></td> </tr> <tr> <td>(b)</td> <td></td> <td></td> </tr> <tr> <td>(c)</td> <td></td> <td></td> </tr> </tbody> </table>	State of export - dispatch	State(s) of transit (entry and exit)	State of import - destination	(a)			(b)			(c)		
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17. Exporter's - notifier's / generator's - producer's (7) declaration: I certify that the information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary movement.													
Exporter's - notifier's name: Date: Signature: Generator's - producer's name: Date: Signature:	18. Number of annexes attached												
FOR USE BY COMPETENT AUTHORITIES													
19. Acknowledgement from the relevant competent authority of countries of import - destination / transit (7) / export - dispatch (9): Country: Notification received on: Acknowledgement sent on: Name of competent authority: Stamp and/or signature:	20. Written consent (7;8) to the movement provided by the competent authority of (country): Consent given on: Consent valid from: until: Specific conditions: No: <input type="checkbox"/> If Yes, see block 21 (6): <input type="checkbox"/> Name of competent authority: Stamp and/or signature:												
21. Specific conditions on consenting to the movement document or reasons for objecting													

(1) Required by the Basel Convention
 (2) In the case of an R12/R13 or D13-D15 operation, also attach corresponding information on any subsequent R12/R13 or D13-D15 facilities and on the subsequent R1-R11 or D1-D12 facility(ies) when required
 (3) To be completed for movements within the OECD area and only if B(ii) applies
 (4) Attach detailed list if multiple shipments

(5) See list of abbreviations and codes on the next page
 (6) Attach details if necessary
 (7) Attach list if more than one
 (8) If required by national legislation
 (9) If applicable under the OECD Decision

List of abbreviations and codes used in the notification document

DISPOSAL OPERATIONS (block 11)																																															
D1	Deposit into or onto land, (e.g., landfill, etc.)																																														
D2	Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc.)																																														
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D8	Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list																																														
D9	Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g., evaporation, drying, calcination, etc.)																																														
D10	Incineration on land																																														
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D13	Blending or mixing prior to submission to any of the operations in this list																																														
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RECOVERY OPERATIONS (block 11)																																															
R1	Use as a fuel (other than in direct incineration) or other means to generate energy (Basel/OECD) - Use principally as a fuel or other means to generate energy (EU)																																														
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Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VIII and IX codes, OECD codes and Y-codes, can be found in a Guidance/Instruction Manual available from the OECD and the Secretariat of the Basel Convention.

Movement document for transboundary movements/shipments of waste

1. Corresponding to notification No:		2. Serial/total number of shipments: /	
3. Exporter - notifier Registration No: Name: Address: Contact person: Tel: Fax: E-mail:		4. Importer - consignee Registration No: Name: Address: Contact person: Tel: Fax: E-mail:	
5. Actual quantity: Tonnes (Mg): m ³ :		6. Actual date of shipment:	
7. Packaging Type(s) (1): Number of packages:			
Special handling requirements: (2) Yes: <input type="checkbox"/> No: <input type="checkbox"/>			
8.(a) 1 st Carrier (3): Registration No: Name: Address: Tel: Fax: E-mail:		8.(b) 2 nd Carrier: Registration No: Name: Address: Tel: Fax: E-mail:	8.(c) Last Carrier: Registration No: Name: Address: Tel: Fax: E-mail:
----- To be completed by carrier's representative -----			
Means of transport (1): Date of transfer: Signature:		Means of transport (1): Date of transfer: Signature:	Means of transport (1): Date of transfer: Signature:
9. Waste generator(s) - producer(s) (4;5;6): Registration No: Name: Address: Contact person: Tel: Fax: E-mail: Site of generation (2):		12. Designation and composition of the waste (2):	
10. Disposal facility <input type="checkbox"/> or recovery facility <input type="checkbox"/> Registration No: Name: Address: Contact person: Tel: Fax: E-mail: Actual site of disposal/recovery (2)		13. Physical characteristics (1):	
11. Disposal/recovery operation(s) D-code / R-code (1):		14. Waste identification (fill in relevant codes) (i) Basel Annex VIII (or IX if applicable): (ii) OECD code (if different from (i)): (iii) EC list of wastes: (iv) National code in country of export: (v) National code in country of import: (vi) Other (specify): (vii) Y-code: (viii) H-code (1): (ix) UN class (1): (x) UN Number: (xi) UN Shipping name: (xii) Customs code(s) (HS):	
15. Exporter's - notifier's / generator's - producer's (4) declaration: I certify that the above information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into, that any applicable insurance or other financial guarantee is in force covering the transboundary movement and that all necessary consents have been received from the competent authorities of the countries concerned. Name: Date: Signature:			
16. For use by any person involved in the transboundary movement in case additional information is required			
17. Shipment received by importer - consignee (if not facility):		Date: Name: Signature:	
TO BE COMPLETED BY DISPOSAL / RECOVERY FACILITY			
18. Shipment received at disposal facility <input type="checkbox"/> or recovery facility <input type="checkbox"/> Date of reception: Accepted: <input type="checkbox"/> Rejected*: <input type="checkbox"/> Quantity received: Tonnes (Mg): m ³ : Approximate date of disposal/recovery: Disposal/recovery operation (1): Name: Date: Signature:		19. I certify that the disposal/recovery of the waste described above has been completed. Name: Date: Signature and stamp:	

(1) See list of abbreviations and codes on the next page

(2) Attach details if necessary

(3) If more than 3 carriers, attach information as required in blocks 8 (a,b,c).


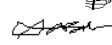
(4) Required by the Basel Convention

(5) Attach list if more than one

(6) If required by national legislation

SAMPLE

Notification document for transboundary movements/shipments of waste

1. Exporter - notifier Registration No: 123-456-789 Name: THE NEPA STORE Address: 11 CALEDONIA AVE KINGSTON JAMAICA Contact person: JANE ENVIRONS Tel: (1876) 754-7540 Fax: (1876) 754-7599 E-mail: contact@nepa.gov.jm	3. Notification No: JM-2010-00001-HE00001 Notification concerning A.(i) Individual shipment: <input type="checkbox"/> (ii) Multiple shipments: <input checked="" type="checkbox"/> B.(i) Disposal (1): <input type="checkbox"/> (ii) Recovery: <input checked="" type="checkbox"/> C. Pre-consented recovery facility (2,3) Yes <input type="checkbox"/> No <input type="checkbox"/>												
2. Importer - consignee Registration No: 555-7777 Name: IMPORTS AND FIXES COMPANY LTD. Address: 5 ONE OF THE STREETS PONTASTA, REPUBLIC OF KOREA Contact person: MR. VERY I. PERSON Tel: 1 (000) 111-2233 Fax: 1 (000) 111-2244 E-mail: contact@imports.com	4. Total intended number of shipments: 30 5. Total intended quantity (4): Tonnes (Mg): 3000 METRIC TONNES m ³ :												
8. Intended carrier(s) Registration No: 444-787878 Name(7): SHIP THIS CO. Address: 00 MARCUS GARVEY SRIVE KINGSTON 19 Contact person: MRS. SHIPPER SHIPS Tel: (876) 888-8888 Fax: (876) 888-8889 E-mail: shipper@shipthis.com Means of transport (5): SEA	6. Intended period of time for shipment(s) (4): First departure: 30 JULY 2011 Last departure: 30 JULY 2012 7. Packaging type(s) (5): 6 - COMPOSITE PACKAGING Special handling requirements (6): (annex 1) Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/> 11. Disposal / recovery operation(s) (2) D-code / R-code (5): R4 Technology employed (6): SEE ANNEX 2 - COMPANY DESCRIPTION OF THE WASTE RECOVERY TECHNOLOGY EMPLOYED Reason for export (1,6): NO FACILITY LOCALLY												
9. Waste generator(s) - producer(s) (1,7,8) Registration No: 987-654-321 Name: BATTERIESRUS LTD Address: 3 NOTHNG STREET ST. CATHERINE Contact person: MR. DRY LEAD Tel: (876) 999-0000 Fax: (876) 999-0001 E-mail: contact@batteriesrus.com Site and process of generation (6) SAME AS ABOVE, COLLECTION FROM VARIOUS SOURCES	12. Designation and composition of the waste (6): DRAINED LEAD ACID BATTERIES 13. Physical characteristics (5): 2 - SOLID 14. Waste identification (fill in relevant codes) (i) Basel Annex VIII (or IX if applicable): A1160 (ii) OECD code (if different from (i)): (iii) EC list of wastes: (iv) National code in country of export: - (v) National code in country of import: - (vi) Other (specify): (vii) Y-code: Y31 (viii) H-code (5): H8 (ix) UN class (5): 8 (x) UN Number: 2794 (xi) UN Shipping name: BATTERIES WET FILLED WITH ACID (xii) Customs code(s) (HS):												
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PACKAGING TYPES (block 7)	H-CODE AND UN CLASS (block 14)	
1. Drum	UN Class	H-code
2. Wooden barrel		Characteristics
3. Jerrican		
4. Box	1	H1
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6. Composite packaging	4.1	H4.1
7. Pressure receptacle	4.2	H4.2
8. Bulk	4.3	H4.3
9. Other (specify)	5.1	H5.1
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S = Sea		
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2. Solid		
3. Viscous/paste		
4. Sludgy		
5. Liquid		
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